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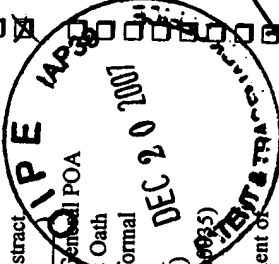
COPY

DOCKETED

Appln. No. 10/068/17 Attorney Docket No. MIT-086AUS By: KR1mm
Title: Dynami-Planning Method of System
Application of: Pers-Moza et al
*The Following, DUE in the U.S. PTO, was received in the Mail Room on the date stamped hereon:

- | | |
|--|---|
| <input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10) | <input type="checkbox"/> PCT Request 101 (<u> </u> sheets) |
| <input type="checkbox"/> Express Mail Label No. <u> </u> | <input type="checkbox"/> Chapter II Demand |
| <input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a) | <input type="checkbox"/> PCT Fee/Calculation/Authorization Sheet |
| <input type="checkbox"/> Application for Patent incl. (<u> </u> pgs.) Specification (<u> </u> pgs.) Abstract, (<u> </u> pgs.) Claims (Claims <u> </u> pgs.) | <input type="checkbox"/> Response to Correct Defects |
| <input type="checkbox"/> Declaration Petition / Power of Attorney / General POA | <input checked="" type="checkbox"/> Check for \$ <u>1452</u> Check # <u>6468</u> |
| <input type="checkbox"/> Design Patent Application & Declaration & Oath | <input type="checkbox"/> Check for \$ <u> </u> Check # <u> </u> |
| <input type="checkbox"/> Drawings <u> </u> Sheet(s) <u> </u> Formal <u> </u> Informal (Figs. <u> </u>) | <input type="checkbox"/> Amendment/Response |
| <input type="checkbox"/> Request For Non-Publication (PTO Form 1449) | <input type="checkbox"/> Submission of Formal Drawings |
| <input type="checkbox"/> CPAR/CE | <input type="checkbox"/> Response to Notice to File Missing Parts |
| <input type="checkbox"/> Revocation of Power of Attorney/Appointment of New Power of Attorney | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Other <u>Letter Under 37 CFR 1.28(c)</u> | <input type="checkbox"/> Power of Attorney |
| <input type="checkbox"/> Info. Disc. Statement, Substitute for PTO Form 1449 (Form PTO/SB/08A) and <u> </u> Reference(s) Cited | <input type="checkbox"/> Brief (<u> </u> x3) |
| | <input type="checkbox"/> Petition for Ext. of Time |
| | <input type="checkbox"/> Transmittal Letter (PTO/SB/21) |
| | <input type="checkbox"/> Fee Transmittal Letter (PTO/SB/17) |
| | <input type="checkbox"/> Assignment |
| | <input type="checkbox"/> Maintenance Fee Transmittal |

Mailing Date December 17, 2009
Deficiency Fee




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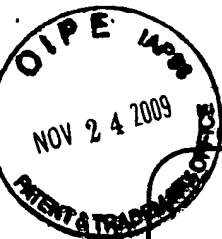
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Security enhanced document. See back for details.

DALY, CROWLEY & MOFFORD & DURKEE, LLP		6468
354 TURNPIKE ST, SUITE 301 CANTON, MA 02021		
DATE <u>12/17/07</u>		53-7310/2113 04
PAY TO THE ORDER OF	Assistant Commissioner for Patents	\$ 1452.00
<u>One Thousand four hundred fifty two⁰⁰/₁₀₀</u>		DOLLARS
 888.828.1690 • www.bankofcanton.com		
FOR	<u>MIT OSLATUS Deficiency Fee</u>	<u>Susan J Padula</u>

GUARDIAN & SAFETY



PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/068,119

Filing Date

February 6, 2002

First Named Inventor

Feniosky Pena-Mora

Art Unit

2121

Examiner Name

Thomas H. Stevens

Attorney Docket Number

MIT-086AUS

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ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/
Incomplete Application



Reply to Missing Parts
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board
of Appeals and Interferences



Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify
below):

Return Postcard;

Letter Under 37 C.F.R. 1.128(c)

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Remarks

In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Daly, Crowley, Mofford & Durkee, LLP

USPTO Customer No. 022494

Signature

Kermit Robinson

Printed name

Kermit Robinson

Date

Dec 17, 2009

Reg. No.

48,734

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Kermit Robinson

Typed or printed name

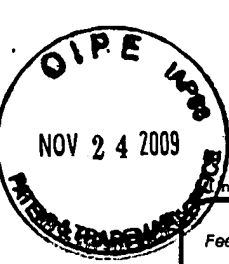
Kermit Robinson

Date

Dec 17, 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,452

Complete if Known

Application Number 10/068,119
Filing Date February 6, 2002
First Named Inventor Feniosky Pena-Mora
Examiner Name Thomas H. Stevens
Art Unit 2121
Attorney Docket No. MIT-086AUS

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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):
☐ Deposit Account Deposit Account Number: 50-0845 Deposit Account Name: Daly, Crowley, Mofford & Durkee, LLP
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims: 0 Extra Claims: 0 Fee (\$): 0 Fee Paid (\$): 0
HP = highest number of total claims paid for, if greater than 20
Indep. Claims: 0 Extra Claims: 0 Fee (\$): 0 Fee Paid (\$): 0
HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets: 0 Extra Sheets: 0 Number of each additional 50 or fraction thereof: 0 Fee (\$): 0 Fee Paid (\$): 0
- 100 = 0 / 50 = 0 (round up to a whole number) x 0 = 0

4. OTHER FEE(S)

Non-English Specification: \$130 fee (no small entity discount)
Other: Deficiency Fee
Fees Paid (\$): 0
1452

SUBMITTED BY

Signature: Kermit Robinson Registration No. 48,734 Telephone 781.401.9988, x124
Name (Print/Type): Kermit Robinson Date: Dec 17, 2009

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/068,119
Applicant : Feniosky Pena-Mora, et al.
Filed : February 6, 2002
T.C./A.U. : 2121
Examiner : Thomas H. Stevens

Confirmation No.: 5759

Docket No. : MIT-086AUS
Customer No. : 022494

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LETTER UNDER 37 C.F.R. §1.28(c)

OFFICE OF PETITIONS

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-identified patent application was filed on February 6, 2002. Status as a small entity was established in good faith. It was later discovered that such status as a small entity was established in error. In accordance with the provisions of 37 C.F.R. §1.28(c), Applicants respectfully request that the error be excused. A calculation of the deficiency owed and an itemization of the total deficiency payment in accordance with 37 C.F.R. §§1.28(c)(2)(i) and (ii) is provided in the attached Deficiency Fees Owed chart:

The total deficiency owed is \$1,452, for which a check is attached. The Assistant Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845, including but not limited to, any charges for extensions of time under 37 C.F.R. §1.136.

Appl. No.: 10/068,119

Docket No.: MIT-086AUS

Please do not hesitate to contact the below signing attorney if there are any questions regarding this submission or this application.

Dated: Dec 17, 2007

Respectfully submitted,

DALY, CROWLEY, MOFFORD & DURKEE, LLP

By: 

Kermit Robinson

Reg. No. 48,734

Attorney for Applicant(s)

354A Turnpike Street - Suite 301A

Canton, MA 02021-2714

Tel.: (781) 401-9988, Ext. 124

Fax: (781) 401-9966

kr@dc-m.com

47285.doc

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DEFICIENCY FEES OWED

Action Taken	Date Action Taken	Small Entity Fee Paid	Current Large Entity Fee	Difference Due
Utility patent application filed without fee	Feb. 6, 2002	\$370	\$1,030	\$660
With 2 extra claims		\$18	\$100	\$82
Response to Office Action dated March 24, 2006	Jun. 26, 2006	No Fee Involved	No Fee Involved	No Fee Involved
Extension of Time Fee for above Office Action (2 month)	Mar. 6, 2007	\$225	\$460	\$235
Request for Continued Examination (RCE)	Aug. 17, 2007	\$395	\$810	\$415
Extension of Time Fee for above RCE (1 month)	Aug. 17, 2007	\$60	\$120	\$60
TOTAL DIFFERENCE DUE		\$1,068	\$2,520	\$1,452

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

11

Application Number

10/068,119

Filing Date

February 6, 2002

First Named Inventor

Feniosky Pena-Mora

Art Unit

2121

Examiner Name

Thomas H. Stevens

Attorney Docket Number

MIT-086AUS

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ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Postcard;
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Letter Under 37 C.F.R. 1.128(c);
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	Re-submission of documents previously filed on December 17, 2007 with regard to a deficiency fee (7 pages)
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Daly, Crowley, Mofford & Durkee, LLP			USPTO Customer No. 022494
Signature				
Printed name	Kermit Robinson			
Date	20 Nov 2009	Reg. No.	48,734	

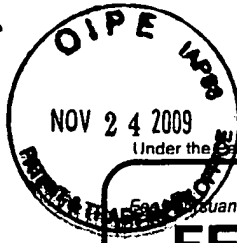
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Kermit Robinson	Date	20 Nov 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Effective on 12/08/2004.

Fee is pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **1.452****Complete if Known**

Application Number	10/068,119
Filing Date	February 6, 2002
First Named Inventor	Feniosky Pena-Mora
Examiner Name	Thomas H. Stevens
Art Unit	2121
Attorney Docket No.	MIT-086AUS

RECEIVED**DEC 09 2009****METHOD OF PAYMENT (check all that apply)****OFFICE OF PETITIONS**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: **50-0845** Deposit Account Name: **Daly, Crowley, Mofford & Durkee, LLP**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
_____ - 20 or HP = _____ x _____ = _____						
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
_____ - 3 or HP = _____ x _____ = _____						
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____				

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: **Deficiency Fee****Fees Paid (\$)****1.452****SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	48,734	Telephone	781.401.9988, x124
Name (Print/Type)	Kermit Robinson	Date	11/20/09		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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